



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Rodney Leland Blum  
**Status:** Member  
**State/District:** IA01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 08/12/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ABERDEEN SELECT INTERNATIONAL EQ A [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Activision Blizzard, Inc (ATVI) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒ Aetna Inc. (AET) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Albemarle Corporation (ALB) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Allergan plc Ordinary Shares (AGN) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
American Trust Agency Account ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒		\$50,001 -	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
AT&T Inc. (T) [ST]		\$100,000			
American Trust Agency Account ⇒ Constellation Brands, Inc. (STZ) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒ Delta Air Lines, Inc. (DAL) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Diamondback Energy, Inc. - Common Stock (FANG) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Trust Agency Account ⇒ DISH Network Corporation - Class A (DISH) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
American Trust Agency Account ⇒ Exxon Mobil Corporation (XOM) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Global Payments Inc. (GPN) [ST]		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Trust Agency Account ⇒ Hartford Financial Services Group, Inc. (HIG) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Hologic, Inc. (HOLX) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
American Trust Agency Account ⇒ iShares Core MSCI Europe ETF (IEUR) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
American Trust Agency Account ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒ Lennar Corporation Class A (LEN) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Trust Agency Account ⇒ McKesson Corporation (MCK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Open Text Corporation - Common Shares (OTEX) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒ PNM Resources, Inc. (PNM) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Trust Agency Account ⇒ Roper Technologies, Inc. (ROP) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
American Trust Agency Account ⇒ SPDR S&P 500 (SPY) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
American Trust Agency Account ⇒ SPDR S&P Regional Banking ETF (KRE) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Synchrony Financial (SYF) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Agency Account ⇒ Total S.A. (TOT) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
American Trust Agency Account ⇒ United Rentals, Inc. (URI) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
American Trust Agency Account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
American Trust Agency Account ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
American Trust Bank Debentures [CS]  DESCRIPTION: Corporate bond		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
American Trust Cash Account [BA]		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Bank Of Montreal (BMO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
BB&T Corporation (BBT) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Becton, Dickinson and Company (BDX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Blum for Congress [DO]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Blum Group LLC [OL]		\$1,000,001 - \$5,000,000	Dividends	None	<input type="checkbox"/>
LOCATION: DUBUQUE, IA, US DESCRIPTION: General Construction Company					
BRIDGEWAY AGGRESSIVE INVESTORS 1 [MF]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Cardinal Health, Inc. (CAH) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
ConocoPhillips (COP) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Deluxe Corporation (DLX) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Digital Canal Corporation [OL]		\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Dubuque/Dubuque, IA, US DESCRIPTION: Software Development Company					
ETrade Cash Account [BA]		\$250,001 - \$500,000	None		<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
General Electric Company (GE) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Heartland Financial USA, Inc. (HTLF) [ST]		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Johnson Note [DO]		\$50,001 - \$100,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: John Joseph Louis Johnson c/o Hahn Loeser & parks LLC, Columbus, OH 43215					
KeyCorp (KEY) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Oakland Farms [FA]		\$1,000,001 -	Farm Income	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$5,000,000			
LOCATION: Dubuque/Dubuque, IA, US DESCRIPTION: Home in Dubuque					
Office Depot, Inc. (ODP) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
PVH Corp. (PVH) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
RodandKarenBlumIRA ⇒ Berkshire Hathaway Inc. (BRK.A) [ST]	JT	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
RodandKarenBlumIRA ⇒ Deluxe Corporation (DLX) [ST]	JT	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
RodandKarenBlumIRA ⇒ Invesco Technology [EF]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RodandKarenBlumIRA ⇒ IRA Cash Acct [IH]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
SunTrust Banks, Inc. (STI) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Tin Moon, 70% Interest [OL]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Dubuque, IA, US DESCRIPTION: SEO Company COMMENTS: It was an administrative oversight that this was not listed due to the company being basically worth less than \$1000 and not doing business in 2016.					
Wells Fargo & Company (WFC) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
RodandKarenBlumIRA ⇒ Tintri, Inc. (TNTR) [ST]	JT	08/4/2017	P	\$50,001 - \$100,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### **SCHEDULE C: EARNED INCOME**

None disclosed.

### **SCHEDULE D: LIABILITIES**

None disclosed.

### **SCHEDULE E: POSITIONS**

<b>Position</b>	<b>Name of Organization</b>
Chief Executive Officer	Digital Canal Corporation
Partner	Blum Group
Director	Tin Moon

COMMENTS: It was an administrative oversight that this was not listed due to the company being basically worth less than \$1000 and not doing business in 2016.

### **SCHEDULE F: AGREEMENTS**

None disclosed.

### **SCHEDULE G: GIFTS**

None disclosed.

### **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

### **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

### **SCHEDULE A AND B ASSET CLASS DETAILS**

- o American Trust Agency Account
- o RodandKarenBlumIRA (Owner: JT)

### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Rodney Leland Blum , 08/12/2018