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**From:** Breitbart, Michael [LEGIS] <Michael.Breitbart@legis.iowa.gov>  
**Subject:** RE: [PROBABLY SPAM] FW: AOS Funding Fix Proposal  
**Date:** May 19, 2020 at 8:21:12 PM CDT  
**To:** Rob Sand <Rob.Sand@AOS.IOWA.GOV>

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Thank you, I'll review.

Senator Mike Breitbart

Sent from my U.S. Cellular® Smartphone

----- Original message -----

**From:** Rob Sand <Rob.Sand@AOS.IOWA.GOV>  
**Date:** 5/19/20 8:16 PM (GMT-06:00)  
**To:** "Breitbart, Michael [LEGIS]" <Michael.Breitbart@legis.iowa.gov>, "Kraayenbrink, Tim [LEGIS]" <Tim.Kraayenbrink@legis.iowa.gov>, "Bolkcom, Joe [LEGIS]" <Joe.Bolkcom@legis.iowa.gov>, "Costello, Mark [LEGIS]" <Mark.Costello@legis.iowa.gov>, "Dotzler, Bill [LEGIS]" <Bill.Dotzler@legis.iowa.gov>, "Garrett, Julian [LEGIS]" <Julian.Garrett@legis.iowa.gov>, "Greene, Tom [LEGIS]" <Tom.Greene@legis.iowa.gov>, "Johnson, Craig [LEGIS]" <Craig.Johnson@legis.iowa.gov>, "Koelker, Carrie [LEGIS]" <Carrie.Koelker@legis.iowa.gov>, "Lofgren, Mark [LEGIS]" <Mark.Lofgren@legis.iowa.gov>, "Lykam, Jim [LEGIS]" <Jim.Lykam@legis.iowa.gov>, "Mathis, Liz [LEGIS]" <Liz.Mathis@legis.iowa.gov>, "Miller-Meeks, Mariannette [LEGIS]" <Mariannette.Miller-Meeks@legis.iowa.gov>, "Ragan, Amanda [LEGIS]" <Amanda.Ragan@legis.iowa.gov>, "Rozenboom, Ken [LEGIS]" <Ken.Rozenboom@legis.iowa.gov>, "Shipley, Tom [LEGIS]" <Tom.Shipley@legis.iowa.gov>, "Sinclair, Amy [LEGIS]" <Amy.Sinclair@legis.iowa.gov>, "Taylor, Todd [LEGIS]" <Todd.Taylor@legis.iowa.gov>, "Wahls, Zach [LEGIS]" <Zach.Wahls@legis.iowa.gov>, "Mohr, Gary [LEGIS]" <Gary.Mohr@legis.iowa.gov>, "Hall, Chris [LEGIS]" <Chris.Hall@legis.iowa.gov>, "Bearinger, Bruce [LEGIS]" <Bruce.Bearinger@legis.iowa.gov>, "Bennett, Liz [LEGIS]" <Liz.Bennett@legis.iowa.gov>, "Best, Brian [LEGIS]" <Brian.Best@legis.iowa.gov>, "Bossman, Jacob [LEGIS]" <Jacob.Bossman@legis.iowa.gov>, "Deyoe, Dave [LEGIS]" <Dave.Deyoe@legis.iowa.gov>, "Dolecheck, Cecil [LEGIS]" <Cecil.Dolecheck@legis.iowa.gov>, "Forbes, John [LEGIS]" <John.Forbes@legis.iowa.gov>, "Fry, Joel [LEGIS]" <Joel.Fry@legis.iowa.gov>, "Kerr, David [LEGIS]" <David.Kerr@legis.iowa.gov>, "Mascher, Mary [LEGIS]" <Mary.Mascher@legis.iowa.gov>, "McKean, Andy [LEGIS]"

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<John.Wills@legis.iowa.gov>, "Winckler, Cindy [LEGIS]"  
<Cindy.Winckler@legis.iowa.gov>, "Worthan, Gary [LEGIS]"  
<Gary.Worthan@legis.iowa.gov>  
Subject: RE: [PROBABLY SPAM] FW: AOS Funding Fix Proposal

Senator,

Yes, but that is entirely understandable, given the volume I sent you all at once. The proposed language is attached to the 1st email, sent at 11:45, subject starts with FW: \*Update\* and it is the final two pages of that attachment.

Thanks,  
Rob

-----Original Message-----

From: Breitbach, Michael [LEGIS] <Michael.Breitbach@legis.iowa.gov>  
Sent: Tuesday, May 19, 2020 6:10 PM  
To: Rob Sand <Rob.Sand@AOS.IOWA.GOV>; Kraayenbrink, Tim [LEGIS] <Tim.Kraayenbrink@legis.iowa.gov>; Bolkcom, Joe [LEGIS] <Joe.Bolkcom@legis.iowa.gov>; Costello, Mark [LEGIS] <Mark.Costello@legis.iowa.gov>; Dotzler, Bill [LEGIS] <Bill.Dotzler@legis.iowa.gov>; Garrett, Julian [LEGIS] <Julian.Garrett@legis.iowa.gov>; Greene, Tom [LEGIS] <Tom.Greene@legis.iowa.gov>; Johnson, Craig [LEGIS] <Craig.Johnson@legis.iowa.gov>; Koelker, Carrie [LEGIS] <Carrie.Koelker@legis.iowa.gov>; Lofgren, Mark [LEGIS] <Mark.Lofgren@legis.iowa.gov>; Lykam, Jim [LEGIS] <Jim.Lykam@legis.iowa.gov>; Mathis, Liz [LEGIS] <Liz.Mathis@legis.iowa.gov>; Miller-Meeks, Mariannette [LEGIS] <Mariannette.Miller-Meeks@legis.iowa.gov>; Ragan, Amanda [LEGIS] <Amanda.Ragan@legis.iowa.gov>; Rozenboom, Ken [LEGIS] <Ken.Rozenboom@legis.iowa.gov>; Shipley, Tom [LEGIS] <Tom.Shipley@legis.iowa.gov>; Sinclair, Amy [LEGIS] <Amy.Sinclair@legis.iowa.gov>; Taylor, Todd [LEGIS] <Todd.Taylor@legis.iowa.gov>; Wahls, Zach [LEGIS] <Zach.Wahls@legis.iowa.gov>; Mohr, Gary [LEGIS] <Gary.Mohr@legis.iowa.gov>; Hall, Chris [LEGIS] <Chris.Hall@legis.iowa.gov>; Bearinger, Bruce [LEGIS] <Bruce.Bearinger@legis.iowa.gov>; Bennett, Liz [LEGIS] <Liz.Bennett@legis.iowa.gov>; Best, Brian [LEGIS] <Brian.Best@legis.iowa.gov>; Bossman, Jacob [LEGIS] <Jacob.Bossman@legis.iowa.gov>; Deyoe, Dave [LEGIS]

<Dave.Deyoe@legis.iowa.gov>; Dolecheck, Cecil [LEGIS]  
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<Cindy.Winckler@legis.iowa.gov>; Worthan, Gary [LEGIS]  
<Gary.Worthan@legis.iowa.gov>  
Subject: RE: [PROBABLY SPAM] FW: AOS Funding Fix Proposal

Thank you for the update Auditor Sands. Have you submitted proposed legislative language, including recommended code section changes, for our consideration? I don't believe I saw it in your 3 emails.

Thanks again,

Senator Mike Breitbach

Sent from my U.S. Cellular® Smartphone

----- Original message -----

From: Rob Sand <Rob.Sand@AOS.IOWA.GOV>  
Date: 5/19/20 11:46 AM (GMT-06:00)  
To: "Breitbach, Michael [LEGIS]" <Michael.Breitbach@legis.iowa.gov>, "Kraayenbrink, Tim [LEGIS]" <Tim.Kraayenbrink@legis.iowa.gov>, "Bolkcom, Joe [LEGIS]" <Joe.Bolkcom@legis.iowa.gov>, "Costello, Mark [LEGIS]" <Mark.Costello@legis.iowa.gov>, "Dotzler, Bill [LEGIS]" <Bill.Dotzler@legis.iowa.gov>, "Garrett, Julian [LEGIS]" <Julian.Garrett@legis.iowa.gov>, "Greene, Tom [LEGIS]" <Tom.Greene@legis.iowa.gov>, "Johnson, Craig [LEGIS]" <Craig.Johnson@legis.iowa.gov>, "Koelker, Carrie [LEGIS]" <Carrie.Koelker@legis.iowa.gov>, "Lofgren, Mark [LEGIS]" <Mark.Lofgren@legis.iowa.gov>, "Lykam, Jim [LEGIS]" <Jim.Lykam@legis.iowa.gov>, "Mathis, Liz [LEGIS]" <Liz.Mathis@legis.iowa.gov>, "Miller-Meeks, Mariannette [LEGIS]" <Mariannette.Miller-Meeks@legis.iowa.gov>, "Ragan, Amanda [LEGIS]" <Amanda.Ragan@legis.iowa.gov>, "Rozenboom, Ken [LEGIS]" <Ken.Rozenboom@legis.iowa.gov>, "Shipley, Tom [LEGIS]"

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<Amy.Sinclair@legis.iowa.gov>, "Taylor, Todd [LEGIS]"  
<Todd.Taylor@legis.iowa.gov>, "Wahls, Zach [LEGIS]"  
<Zach.Wahls@legis.iowa.gov>, "Mohr, Gary [LEGIS]"  
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<Mary.Mascher@legis.iowa.gov>, "McKean, Andy [LEGIS]"  
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<John.Wills@legis.iowa.gov>, "Winckler, Cindy [LEGIS]"  
<Cindy.Winckler@legis.iowa.gov>, "Worthan, Gary [LEGIS]"  
<Gary.Worthan@legis.iowa.gov>  
Subject: [PROBABLY SPAM] FW: AOS Funding Fix Proposal

3rd e-mail. Thank you.

---

From: Rob Sand  
Sent: Monday, May 18, 2020 4:06 PM  
To: Roederer, David [IDOM]  
<david.roederer@iowa.gov<mailto:david.roederer@iowa.gov>>; Craig, Sara  
<sara.craig@iowa.gov<mailto:sara.craig@iowa.gov>>; Langholz, Sam  
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>>; Holly.Brink@legis.iowa.gov<mailto:Holly.Brink@legis.iowa.gov>  
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Cc: Marlys Gaston  
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Christopher J Ubben  
<Chris.Ubben@legis.iowa.gov<mailto:Chris.Ubben@legis.iowa.gov>>; John  
McCormally  
<John.McCormally@AOS.IOWA.GOV<mailto:John.McCormally@AOS.IOWA.GOV>>  
Subject: AOS Funding Fix Proposal

All,

Regarding oversight of the AOS Office if this proposal passes, please note the following. First, one of the alternatives laid out would have the Office retain an appropriation for parts of our administrative, non-billing staff. That appropriation could be adjusted just as is normally

done.

But even if the legislature chose the route of a \$0 appropriation to AOS, the legislature would still have oversight over the AOS Office budget size and use. First, we would continue to have our full-time employee limit set on an annual basis in the budget bill, which would prevent any office expansion. Second, our hourly billing rate would only proceed through the standard rule-making process.

Finally- we are happy to consider other relevant ideas to address any concerns. Drop me a line or give me a call at the number below any time- it rings through to my cell.

Let me know if you have any other questions, concerns, or ideas.

Thanks,

Rob

515-281-5983

From: Rob Sand  
Sent: Thursday, May 14, 2020 10:36 AM  
To: Roederer, David [IDOM]  
<david.roederer@iowa.gov<mailto:david.roederer@iowa.gov>>; Craig, Sara  
<sara.craig@iowa.gov<mailto:sara.craig@iowa.gov>>; Langholz, Sam  
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Cc: Marlys Gaston  
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Christopher J Ubben  
<Chris.Ubben@legis.iowa.gov<mailto:Chris.Ubben@legis.iowa.gov>>; John  
McCormally  
<John.McCormally@AOS.IOWA.GOV<mailto:John.McCormally@AOS.IOWA.GOV>>  
Subject: FW: FW: SWCAP Reconciliation Response

All,

There's been a couple inquiries for the federal response. It is attached- see below as well. Item 5, that starts at the end of the next to last page, is the most relevant portion. It starts: "The letter indicated that the State auditors will try to get legislative approval to bill all user agencies and bill all users (State agencies and local governments) at the same rate. If the State Legislature approves the changes, the problems identified in the current review will be corrected." 3 options are then laid out; the other two both involve repayment (one as an actual refund, the other as a credit to feds against future federal payments).

Again, happy to discuss, please let me know if you have questions. As you can see below, Kim Ashmore at DAS is involved as well if you prefer to talk to her.

Thanks,

Rob

From: Marlys Gaston  
<Marlys.Gaston@AOS.IOWA.GOV<mailto:Marlys.Gaston@AOS.IOWA.GOV>>  
Sent: Tuesday, May 12, 2020 8:18 AM  
To: Rob Sand <Rob.Sand@AOS.IOWA.GOV<mailto:Rob.Sand@AOS.IOWA.GOV>>  
Subject: FW: FW: SWCAP Reconciliation Response

Kim's response.

From: Ashmore, Kim <kim.ashmore@iowa.gov<mailto:kim.ashmore@iowa.gov>>  
Sent: Tuesday, May 12, 2020 7:45 AM  
To: Marlys Gaston  
<Marlys.Gaston@AOS.IOWA.GOV<mailto:Marlys.Gaston@AOS.IOWA.GOV>>;  
Cleveland, Jay [DAS]  
<jay.cleveland@iowa.gov<mailto:jay.cleveland@iowa.gov>>; Miller, Nick  
[DAS] <nick.miller@iowa.gov<mailto:nick.miller@iowa.gov>>  
Subject: Re: FW: SWCAP Reconciliation Response

I don't think there is anything confidential there; I don't see any issue with sharing the letter since possible solutions involve legislative action. Jay or Nick, do you see any possible problems with releasing their memo? Thank you.

Kim Ashmore

State Accounting Enterprise

Iowa Dept. of Administrative Services

Hoover Building, 3rd Floor

Office: (515) 281-3802 | FAX: (515) 281-4264

kim.ashmore@iowa.gov<mailto:kim.ashmore@iowa.gov>

[DAS Logo. Service, Efficiency, Value.]

BE GREEN - Please consider the environment before printing this email.



On Mon, May 11, 2020 at 12:02 PM Marlys Gaston  
<Marlys.Gaston@aos.iowa.gov<mailto:Marlys.Gaston@aos.iowa.gov>> wrote:

Hi Kim – Rob is wanting to know if he can share the Federal response to our April 20 letter with legislators and the governor? I'm thinking yes, but we wanted to check with you.

Thanks - Marlys

From: Rob Sand <Rob.Sand@AOS.IOWA.GOV<mailto:Rob.Sand@AOS.IOWA.GOV>>  
Sent: Monday, May 11, 2020 11:58 AM  
To: Marlys Gaston  
<Marlys.Gaston@AOS.IOWA.GOV<mailto:Marlys.Gaston@AOS.IOWA.GOV>>; John McCormally  
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<Ernest.Ruben@AOS.IOWA.GOV<mailto:Ernest.Ruben@AOS.IOWA.GOV>>  
Subject: RE: SWCAP Reconciliation Response

Can I share this letter with legislators and the Governor's Office at this point?

If that's something we need to ask Kim, Marlys, will you please check with her today?

From: Marlys Gaston  
<Marlys.Gaston@AOS.IOWA.GOV<mailto:Marlys.Gaston@AOS.IOWA.GOV>>  
Sent: Sunday, May 3, 2020 11:13 AM  
To: John McCormally  
<John.McCormally@AOS.IOWA.GOV<mailto:John.McCormally@AOS.IOWA.GOV>>; Rob Sand <Rob.Sand@AOS.IOWA.GOV<mailto:Rob.Sand@AOS.IOWA.GOV>>; Annette Campbell  
<Annette.Campbell@AOS.IOWA.GOV<mailto:Annette.Campbell@AOS.IOWA.GOV>>; James Cunningham

<Jim.Cunningham@AOS.IOWA.GOV<mailto:Jim.Cunningham@AOS.IOWA.GOV>>;  
Ernest Ruben  
<Ernest.Ruben@AOS.IOWA.GOV<mailto:Ernest.Ruben@AOS.IOWA.GOV>>  
Subject: FW: SWCAP Reconciliation Response

Let's discuss Monday.

From: Wong, Yookye (OS/ASA/PSC/FMP)  
<Yookye.Wong@psc.hhs.gov<mailto:Yookye.Wong@psc.hhs.gov>>  
Sent: Friday, May 1, 2020 5:25 PM  
To: Marlys Gaston  
<Marlys.Gaston@AOS.IOWA.GOV<mailto:Marlys.Gaston@AOS.IOWA.GOV>>;  
Ashmore, Kim [DAS] <kim.ashmore@iowa.gov<mailto:kim.ashmore@iowa.gov>>  
Cc: Coleman, Cora (OS/ASA/PSC/FMP)  
<Cora.Coleman@psc.hhs.gov<mailto:Cora.Coleman@psc.hhs.gov>>  
Subject: RE: SWCAP Reconciliation Response

Hello Kim and Marlys,

Attached please find our response to the Iowa Auditor of State's letter dated April 20, 2020.

We are open for discussion, and welcome feedback from Iowa to work out an acceptable solution.

Warm regards,

Karen Wong

Senior Negotiator, Cost Allocation Services

Program Support Center, Financial Management Portfolio

U.S. Department of Health and Human Services

90 7th Street, Suite 4-600

San Francisco, CA 94103

Office: (415) 437-7835

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CyzMgYiVFHcj5a8M6XB%2B7uMplSmvno%3D&reserved=0>

From: Marlys Gaston

<Marlys.Gaston@AOS.IOWA.GOV<mailto:Marlys.Gaston@AOS.IOWA.GOV>>

Sent: Monday, April 20, 2020 12:43 PM

To: Coleman, Cora (OS/ASA/PSC/FMP)

<Cora.Coleman@psc.hhs.gov<mailto:Cora.Coleman@psc.hhs.gov>>; Wong,  
Yookye (OS/ASA/PSC/FMP)

<Yookye.Wong@psc.hhs.gov<mailto:Yookye.Wong@psc.hhs.gov>>; Ashmore, Kim [DAS] <kim.ashmore@iowa.gov<mailto:kim.ashmore@iowa.gov>>  
Cc: John McCormally  
<John.McCormally@AOS.IOWA.GOV<mailto:John.McCormally@AOS.IOWA.GOV>>; Rob Sand <Rob.Sand@AOS.IOWA.GOV<mailto:Rob.Sand@AOS.IOWA.GOV>>; Annette Campbell  
<Annette.Campbell@AOS.IOWA.GOV<mailto:Annette.Campbell@AOS.IOWA.GOV>>; Miller, Nick [DAS] <nick.miller@iowa.gov<mailto:nick.miller@iowa.gov>>; Cleveland, Jay [DAS]  
<jay.cleveland@iowa.gov<mailto:jay.cleveland@iowa.gov>>; Ernest Ruben <Ernest.Ruben@AOS.IOWA.GOV<mailto:Ernest.Ruben@AOS.IOWA.GOV>>; Joshua Ostrander  
<Joshua.Ostrander@AOS.IOWA.GOV<mailto:Joshua.Ostrander@AOS.IOWA.GOV>>  
Subject: SWCAP Reconciliation Response

Good afternoon all,

Attached is the Iowa Auditor of State's response to the Federal auditor's recommended adjustments to the reconciliation worksheet pertaining to our SWCAP audit. Also attached is a revised reconciliation which we feel more accurately reflects our activity, as well as a copy of the legislation our office proposed in February 2020 that, if passed, would allow our office to bill all state agencies for the services we are required to provide.

Let us know how you would like to proceed from here.

Thank you,

Marlys

Marlys K. Gaston, CPA, CGFM

Deputy Auditor of State

(515) 281-8314

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