
From: Rob Sand

Subject: FW: FW: SWCAP Reconciliation Response

Date: May 19, 2020 at 11:45:37 AM CDT

To: michael.breitbach@legis.iowa.gov <michael.breitbach@legis.iowa.gov> , tim.kraayenbrink@legis.iowa.gov <tim.kraayenbrink@legis.iowa.gov> , joe.bolkcom@legis.iowa.gov <joe.bolkcom@legis.iowa.gov> , mark.costello@legis.iowa.gov <mark.costello@legis.iowa.gov> , bill.dotzler@legis.iowa.gov <bill.dotzler@legis.iowa.gov> , julian.garrett@legis.iowa.gov <julian.garrett@legis.iowa.gov> , tom.greene@legis.iowa.gov <tom.greene@legis.iowa.gov> , craig.johnson@legis.iowa.gov <craig.johnson@legis.iowa.gov> , carrie.koelker@legis.iowa.gov <carrie.koelker@legis.iowa.gov> , mark.lofgren@legis.iowa.gov <mark.lofgren@legis.iowa.gov> , jim.lykam@legis.iowa.gov <jim.lykam@legis.iowa.gov> , liz.mathis@legis.iowa.gov <liz.mathis@legis.iowa.gov> , mariannette.miller-meeks@legis.iowa.gov <mariannette.miller-meeks@legis.iowa.gov> , amanda.ragan@legis.iowa.gov <amanda.ragan@legis.iowa.gov> , ken.rozenboom@legis.iowa.gov <ken.rozenboom@legis.iowa.gov> , tom.shiple@legis.iowa.gov <tom.shiple@legis.iowa.gov> , amy.sinclair@legis.iowa.gov <amy.sinclair@legis.iowa.gov> , todd.taylor@legis.iowa.gov <todd.taylor@legis.iowa.gov> , zach.wahls@legis.iowa.gov <zach.wahls@legis.iowa.gov> , Gary.Mohr@legis.iowa.gov <Gary.Mohr@legis.iowa.gov> , chris.hall@legis.iowa.gov <chris.hall@legis.iowa.gov> , bruce.bearinger@legis.iowa.gov <bruce.bearinger@legis.iowa.gov> , Liz.Bennett@legis.iowa.gov <Liz.Bennett@legis.iowa.gov> , Brian.Best@legis.iowa.gov <Brian.Best@legis.iowa.gov> , Jacob.Bossman@legis.iowa.gov <Jacob.Bossman@legis.iowa.gov> , dave.deyoe@legis.iowa.gov <dave.deyoe@legis.iowa.gov> , cecil.dolecheck@legis.iowa.gov <cecil.dolecheck@legis.iowa.gov> , john.forbes@legis.iowa.gov <john.forbes@legis.iowa.gov> , joel.fry@legis.iowa.gov <joel.fry@legis.iowa.gov> , David.Kerr@legis.iowa.gov <David.Kerr@legis.iowa.gov> , mary.mascher@legis.iowa.gov <mary.mascher@legis.iowa.gov> , Andy.McKean@legis.iowa.gov <Andy.McKean@legis.iowa.gov> , Norlin.Mommsen@legis.iowa.gov <Norlin.Mommsen@legis.iowa.gov> , jo.oldson@legis.iowa.gov <jo.oldson@legis.iowa.gov> , kirsten.running-marquardt@legis.iowa.gov <kirsten.running-marquardt@legis.iowa.gov> , Mike.Sexton@legis.iowa.gov <Mike.Sexton@legis.iowa.gov> , Ray.Sorensen@legis.iowa.gov <Ray.Sorensen@legis.iowa.gov> , phyllis.thede@legis.iowa.gov <phyllis.thede@legis.iowa.gov> , Dave.Williams@legis.iowa.gov <Dave.Williams@legis.iowa.gov> , John.Wills@legis.iowa.gov <John.Wills@legis.iowa.gov> , cindy.winckler@legis.iowa.gov <cindy.winckler@legis.iowa.gov> , gary.worthan@legis.iowa.gov <gary.worthan@legis.iowa.gov>

Attachments: image001.png (680 bytes), CAS Response 5-1-20.pdf (372.0 KB)

2nd e-mail. Thank you.

From: Rob Sand

Sent: Thursday, May 14, 2020 10:36 AM

To: Roederer, David [IDOM] <david.roederer@iowa.gov>; Craig, Sara <sara.craig@iowa.gov>; Langholz, Sam <sam.langholz@iowa.gov>; jack.whitver@legis.iowa.gov <jack.whitver@legis.iowa.gov>; todd.prichard@legis.iowa.gov <todd.prichard@legis.iowa.gov>; Petersen, Janet [LEGIS] <Janet.Petersen@legis.iowa.gov>; pat.grassley@legis.iowa.gov <pat.grassley@legis.iowa.gov>; matt.windschitl@legis.iowa.gov <matt.windschitl@legis.iowa.gov>; charles.schneider@legis.iowa.gov <charles.schneider@legis.iowa.gov>; dennis.guth@legis.iowa.gov <dennis.guth@legis.iowa.gov>; dan.zumbach@legis.iowa.gov

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<chris.hagenow@legis.iowa.gov>

Cc: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>; Christopher J Ubben
<Chris.Ubben@legis.iowa.gov>; John McCormally
<John.McCormally@AOS.IOWA.GOV>

Subject: FW: FW: SWCAP Reconciliation Response

All,

There's been a couple inquiries for the federal response. It is attached- see below as well. Item 5, that starts at the end of the next to last page, is the most relevant portion. It starts: "The letter indicated that the State auditors will try to get legislative approval to bill all user agencies and bill all users (State agencies and local governments) at the same rate. If the State Legislature approves the changes, the problems identified in the current review will be corrected." 3 options are then laid out; the other two both involve repayment (one as an actual refund, the other as a credit to feds against future federal payments).

Again, happy to discuss, please let me know if you have questions. As you can see below, Kim Ashmore at DAS is involved as well if you prefer to talk to her.

Thanks,
Rob

From: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>

Sent: Tuesday, May 12, 2020 8:18 AM

To: Rob Sand <Rob.Sand@AOS.IOWA.GOV>

Subject: FW: FW: SWCAP Reconciliation Response

Kim's response.

From: Ashmore, Kim <kim.ashmore@iowa.gov>
Sent: Tuesday, May 12, 2020 7:45 AM
To: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>; Cleveland, Jay [DAS] <jay.cleveland@iowa.gov>; Miller, Nick [DAS] <nick.miller@iowa.gov>
Subject: Re: FW: SWCAP Reconciliation Response

I don't think there is anything confidential there; I don't see any issue with sharing the letter since possible solutions involve legislative action. Jay or Nick, do you see any possible problems with releasing their memo? Thank you.

Kim Ashmore

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Iowa Dept. of Administrative Services
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kim.ashmore@iowa.gov

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BE GREEN - Please consider the environment before printing this email.

On Mon, May 11, 2020 at 12:02 PM Marlys Gaston <Marlys.Gaston@aos.iowa.gov> wrote:

Hi Kim – Rob is wanting to know if he can share the Federal response to our April 20 letter with legislators and the governor? I'm thinking yes, but we wanted to check with you.

Thanks - Marlys

From: Rob Sand <Rob.Sand@AOS.IOWA.GOV>
Sent: Monday, May 11, 2020 11:58 AM
To: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>; John McCormally <John.McCormally@AOS.IOWA.GOV>; Annette Campbell <Annette.Campbell@AOS.IOWA.GOV>; James Cunningham <Jim.Cunningham@AOS.IOWA.GOV>; Ernest Ruben <Ernest.Ruben@AOS.IOWA.GOV>
Subject: RE: SWCAP Reconciliation Response

Can I share this letter with legislators and the Governor's Office at this point?

If that's something we need to ask Kim, Marlys, will you please check with her today?

From: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>
Sent: Sunday, May 3, 2020 11:13 AM
To: John McCormally <John.McCormally@AOS.IOWA.GOV>; Rob Sand <Rob.Sand@AOS.IOWA.GOV>; Annette Campbell <Annette.Campbell@AOS.IOWA.GOV>; James Cunningham <Jim.Cunningham@AOS.IOWA.GOV>; Ernest Ruben <Ernest.Ruben@AOS.IOWA.GOV>
Subject: FW: SWCAP Reconciliation Response

Let's discuss Monday.

From: Wong, Yookye (OS/ASA/PSC/FMP) <Yookye.Wong@psc.hhs.gov>
Sent: Friday, May 1, 2020 5:25 PM
To: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>; Ashmore, Kim [DAS] <kim.ashmore@iowa.gov>
Cc: Coleman, Cora (OS/ASA/PSC/FMP) <Cora.Coleman@psc.hhs.gov>
Subject: RE: SWCAP Reconciliation Response

Hello Kim and Marlys,

Attached please find our response to the Iowa Auditor of State's letter dated April 20, 2020.

We are open for discussion, and welcome feedback from Iowa to work out an acceptable solution.

Warm regards,

Karen Wong
Senior Negotiator, Cost Allocation Services

Program Support Center, Financial Management Portfolio
U.S. Department of Health and Human Services
90 7th Street, Suite 4-600
San Francisco, CA 94103

Office: (415) 437-7835
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 | psc.gov | [comments](#)

From: Marlys Gaston <Marlys.Gaston@AOS.IOWA.GOV>
Sent: Monday, April 20, 2020 12:43 PM
To: Coleman, Cora (OS/ASA/PSC/FMP) <Cora.Coleman@psc.hhs.gov>; Wong, Yookye (OS/ASA/PSC/FMP) <Yookye.Wong@psc.hhs.gov>; Ashmore, Kim [DAS] <kim.ashmore@iowa.gov>
Cc: John McCormally <John.McCormally@AOS.IOWA.GOV>; Rob Sand <Rob.Sand@AOS.IOWA.GOV>; Annette Campbell <Annette.Campbell@AOS.IOWA.GOV>; Miller, Nick [DAS] <nick.miller@iowa.gov>; Cleveland, Jay [DAS] <jay.cleveland@iowa.gov>; Ernest Ruben <Ernest.Ruben@AOS.IOWA.GOV>; Joshua Ostrander <Joshua.Ostrander@AOS.IOWA.GOV>
Subject: SWCAP Reconciliation Response

Good afternoon all,

Attached is the Iowa Auditor of State's response to the Federal auditor's recommended adjustments to the reconciliation worksheet pertaining to our SWCAP audit. Also attached is a revised reconciliation which we feel more accurately reflects our activity, as well as a copy of the legislation our office proposed in February 2020 that, if passed, would allow our office to bill all state agencies for the services we are required to provide.

Let us know how you would like to proceed from here.

Thank you,
Marlys

Marlys K. Gaston, CPA, CGFM
Deputy Auditor of State
(515) 281-8314

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